

Docket Number: 014628-0308750

Client Reference: 27529



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

ZHI-JIAN YU, et al.

Group Art Unit: 1616

Application No.: 10/820,486

Examiner: UNKNOWN

Filed: April 7, 2004

Confirmation No.: 7395

For: CetylPyridinium Chloride as Antimicrobial Agent in Ophthalmic Compositions

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

Respectfully Submitted,

Seth D. Levy

Registration Number 44869 Customer Number: 27496

Date: August 24, 2004 PILLSBURY WINTHROP LLP

Telephone: (213) 488-7100 Facsimile: (213) 629-1033 725 South Figueroa Street

Suite 2800

Los Angeles, CA 90017-5406

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	bstitute for form 1449A/PTO		Complete if Known			
-			Application Number	10/820,486		
l	NFORMATION DISC	CLOSURE	Filing Date	04/07/2004		
-	STATEMENT BY AF	-	First Named Inventor	Zhi-Jian Yu		
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Sheet		of 1	Attorney Docket Number	014628-0308750	_	

PTO/SB/08a (08-03)

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	Number-Kind Code ^{2 (if known)} US- 2 279 447	0 . 1 . 11 . 10//	NANC 1 1 4 1	3
	1	3,2/8,44/	October 11, 1966	McNicholas, et al.	
	2	^{US-} 3,954,644	May 4, 1976	Krezanoski, et al.	
	3	^{US-} 4,758,595	July 19, 1988	Ogunbiyi, et al.	
	4_	^{US-} 4,908,147	March 13, 1990	Tsao, et al.	
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	6	^{US-} 5,994,405	November 30, 1999	Doi et al.	
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	8	^{US-} 6,020,375	February 1, 2000	Nishihata et al.	
	9	^{US-} 6,482,799 B1	November 19, 2002	Tuse et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner	Date	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language